

Governance of Regulators

Course code: GPS-009



SPARK TRAINING

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— Workshop Overview

Governance is a critical topic that has been attracting serious attention for two decades in business schools and among legislatures, with this trend only increasing. While there are different types of regulators, they all aim to govern and deal with stakeholders. This course covers regulators' independence, decision-making, accountability, inspection and audit, and monitoring and enforcing regulations. It also explains the different types of regulators and different regulators' structures and authorities. Other topics discussed are the role of regulators and the required transparency.

Why Attend

The course is built on presentations by the instructor and the participants and includes exercises and case studies to be discussed during the course.

Who Should Attend

Board members, chief financial officers, senior management, directors, finance managers, financial controllers, accounting and finance personnel, legal counsel, corporate legal advisors, corporate secretaries, lawyers, external and internal auditors, HR managers, and department heads. All employees and managers of regulators

— Learning Objectives

- Explain the different types of regulators and the regulators' principles
- Examine the independence of different regulators
- Appraise decision-making and accountability practices by regulators
- Evaluate transparency and efficiency of regulators
- Support monitoring and enforcement of regulations

Course Outline

DAY
1

Regulators' Types and Principles

- Regulators' types
- International bodies
- Ministries
- Authorities and agencies
- Self-regulatory organizations
- Regulators' principles
- Independence
- Accountability
- Transparency

DAY
2

Regulators' Independence

- Regulators' funding
- Regulators' independence
- Special interest groups
- Semi-governmental regulator
- Self-regulatory organizations
- Decreasing the influence of special interest groups
- Maintaining trust with stakeholders

DAY
3

Decision-Making and Accountability

- Decision-making
- Regulator structure
- Authority and decisions
- Accountability
- Engaging stakeholders in decisions
- Engaging related authorities
- Engaging stakeholders

DAY
4

Transparency and Evaluation

- Transparency required
- Governmental or semi-governmental regulators
- Nongovernmental regulators

- Evaluation of efficiency
- Financial performance
- Nonfinancial performance

DAY
5

Monitoring and Enforcement of Regulations

- Monitoring regulated entities
- Monitoring implementation of requirements
- Monitoring operational and financial results
- Monitoring whistle-blowing and complaints
- Auditing and inspecting
- Deviations
- Whistleblower information
- Enforcement of regulations

— Upcoming Classroom Dates

DATE	LOCATION	FEE
5 - 9 October 2026	Frankfurt - Germany	€1,625
16 - 20 November 2026	Rome - Italy	€2,125
7 - 11 December 2026	Kuala Lumpur - Malaysia	€1,400
11 - 15 January 2027	Barcelona - Spain	€1,925
8 - 12 February 2027	Cape Town - South Africa	€1,420
8 - 12 March 2027	Frankfurt - Germany	€1,625
12 - 16 April 2027	Barcelona - Spain	€1,925
10 - 14 May 2027	Frankfurt - Germany	€1,625

— Certificate and Registration

Participants who attend and complete the full programme receive a SPARK Training Certificate of Completion. For registration, in-house delivery, or availability questions, contact info@sparktraining.eu or +31 85 115 0004.